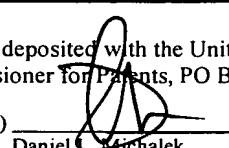
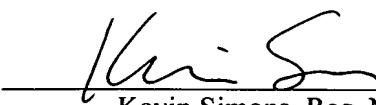


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|---|---|---|
| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE   |   | ATTORNEY'S DOCKET NO.<br>AT02 0029 US                               |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/516468</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/IB03/01962   | INTERNATIONAL FILING DATE<br>16 May 2003  | PRIORITY DATE CLAIMED<br>04 June 2002                               |
| TITLE OF INVENTION<br>Roll back method for a smart card   |   |   |
| APPLICANT(S) FOR DO/EO/US<br>Koninklijke Philips Electronics N.V.   |   |   |
| Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |   |   |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2))</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |   |   |
| Items 11. to 16. below concern document(s) or information included:   |   |   |
| <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.             <ul style="list-style-type: none"> <li><input type="checkbox"/> A SECOND OR SUBSEQUENT preliminary amendment.</li> </ul> </li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:             <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Power of Attorney to Prosecute Application Before the USPTO [PTO/SB/90]</li> <li><input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b) [PTO/SB/96]</li> <li><input checked="" type="checkbox"/> Authorization Pursuant to 37 CFR § 1.136(a)(3) and to Charge Deposit Account <u>14-1270</u>.</li> </ul> </li> </ol>  |   |   |
| <ol style="list-style-type: none"> <li>2 Sheet(s) of Drawings</li> </ol>  |   |   |
| CERTIFICATE OF MAILING  |   |   |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to "Mail Stop PCT, Commissioner for Patents, PO Box 1450, Arlington, VA 22313," on the date indicated below.   |   |   |
| (Date) <u>12/11/04</u>  | (Signature)  | Daniel L. Michalek  |

|   |              |   |            |                          |    |
|---|--------------|---|------------|--------------------------|----|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL FILING NO.<br><b>10/516468</b> PCT/IB03/01962   |              | ATTORNEY'S DOCKET NUMBER<br><b>AT02 0029 US</b> |            |                          |    |
| 17. <input checked="" type="checkbox"/> The following fees are submitted:   |              | CALCULATIONS PTO USE ONLY                       |            |                          |    |
| <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)):</b>  |              |   |            |                          |    |
| Search Report has been prepared by the EPO or JPO ..... \$860.00  |              |   |            |                          |    |
| International preliminary-examination fee paid to USPTO (37 CFR 1.482)..... \$690.00  |              |   |            |                          |    |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482)<br>but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... \$750.00  |              |   |            |                          |    |
| Neither international preliminary examination fee (37 C.F.R.1.482) nor<br>international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$970.00   |              |   |            |                          |    |
| International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96.00   |              |   |            |                          |    |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =..... <u>970.00</u></b>  |              |   |            |                          |    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>months from the earliest claimed priority date (37 C.F.R. 1.492(e)).   |              |   |            | \$0.00                   |    |
| CLAIMS  | NUMBER FILED | # EXTRA   | RATE       |                          |    |
| Total Claims  | 11 - 20 =    | 0   | x \$ 18.00 | \$0.00                   |    |
| Independent claims  | 2 - 3 =      | 0   | x \$ 84.00 | \$0.00                   |    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              | 0   | + \$280.00 | \$0.00                   |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   |            | <b>\$970.00</b>          |    |
| Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity<br>Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)   |              |   |            | \$0.00                   |    |
| <b>SUBTOTAL =</b>   |              |   |            | <b>\$970.00</b>          |    |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30<br>months from the earliest claimed priority date (37 C.F.R. 1.492(f)).  |              |   |            | \$0.00                   |    |
| <b>TOTAL NATIONAL FEE =</b>   |              |   |            | <b>\$970.00</b>          |    |
| Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property +  |              |   |            | \$40.00                  |    |
| <b>TOTAL FEES ENCLOSED =</b>  |              |   |            | <b>\$1010.00</b>         |    |
|   |              |   |            | Amount to be<br>Refunded | \$ |
|   |              |   |            | Charged                  | \$ |
| <input checked="" type="checkbox"/> Please charge my <b>Deposit Account No. 14-1270 (Customer No. 24738)</b> in the amount of <b>\$1010.00</b> to cover the<br>above fees. A duplicate copy of this sheet is enclosed.<br><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which<br>may be required, or credit any overpayment to <b>Deposit Account No. 14-1270</b> . A duplicate copy of this sheet is enclosed. |              |   |            |                          |    |
| <b>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to<br/>revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending<br/>status.</b>  |              |   |            |                          |    |
| <b>SEND ALL CORRESPONDENCE TO:</b><br>PHILIPS ELECTRONICS NORTH AMERICA CORPORATION<br>Intellectual Property & Standards<br>1109 McKay Drive, M/S41-SJ<br>San Jose, California 95131  |              |   |            |                          |    |
| <br>Kevin Simons, Reg. No. 45,110<br>Tel.: (408) 474-9075   |              |   |            |                          |    |